

2711

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
5265

In Re Application Of: John S. Hendricks, et al.

AUG 29 2002

#5
W.Lawson

Serial No.
09/344,499

Filing Date
June 25, 1999

Examiner
n/a

Group Art Unit
2711 9/4/02

Title:

ELECTRONIC BOOK WITH VOICE EMULATION FEATURES

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Technology Center 2600

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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ELECTRONIC BOOK SECURE COMMUNICATION WITH HOME SUBSYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Certificate of Transmission by Facsimile*

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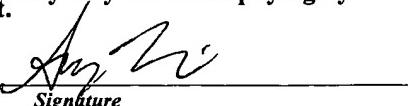
Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Dated: August 29, 2002

Sung T. Kim, Reg. No. 45,398
1660 International Drive, Suite 400
McLean, VA 22102
Tel. (703) 288-5240
Fax (703) 288-5260

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.
5265.00

SERIAL NO.
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John S. Hendricks, et al.

FILING

June 25, 1999

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
O I P E AUG 29 2002 PATENTS & TRADEMARK OFFICE	4,985,697	1/15/1991	Boulton	707	500.1	
	5,799,157	8/25/1998	Escallon	705	27	Technology Center 2600 SEP 04 2002
	6,052,717	4/18/2000	Reynolds, et al.	709	218	
	4,855,725	8/8/1989	Fernandez	345	175	
	5,144,663	9/1/1992	Kudelski, et al.	380	230	
	5,986,690	11/16/1999	Hendricks	725	60	
	6,034,680	3/17/2000	Kessenich	345	733	
	5,093,718	3/3/1992	Hoarty, et al.	725	120	
	5,404,393	4/4/1995	Remillard	379	93.25	
	5,479,268	12/26/1995	Young, et al.	386	83	
	5,319,455	6/7/1994	Hoarty, et al.	725	34	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/23819	7/3/1997	WIPO				
	WO 97/22110	6/19/1997	WIPO				
	WO 97/22067	6/19/1997	WIPO				
	WO 97/22065	6/19/1997	WIPO				
	WO 97/22102	6/19/1997	WIPO				

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Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236

Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

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O I P E		5,014,125	5/7/1991	Pocock, et al.	725	93	
AUG 29 2002	PTO-1449	5,481,296	1/2/1996	Cragun, et al.	725	136	
TRADEMARK OFFICE		5,644,354	7/1/1997	Thompson, et al.	725	138	
		5,724,091	3/3/1998	Freeman, et al.	725	138	Technology Center 2600 SEP 04 2002
		5,663,757	9/2/1997	Morales	725	5	
		5,793,414	8/11/1998	Shaffer	725	133	
		5,805,204	9/8/1998	Thompson, et al.	725	48	
		5,737,725	4/7/1998	Case	704	260	
		5,890,122	3/30/1999	Van Kleeck, et al.	704	275	
		5,446,490	8/29/1995	Blahut, et al.	725	97	
		5,761,485	6/2/1998	Munyan	345	839	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 97/22111	6/19/1997	WIPO				
		WO 97/22103	6/19/1997	WIPO				
		WO 97/22063	6/19/1997	WIPO				
		WO 97/22105	6/19/1997	WIPO				
		WO 97/22104	6/19/1997	WIPO				

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		Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401
		Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30

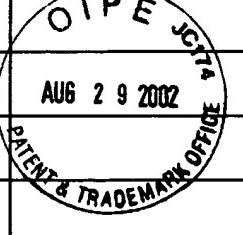
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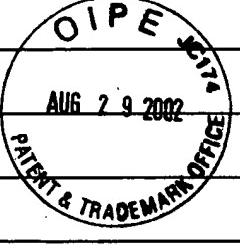
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O I P E		6,204,885	3/20/2001	Kwoh	348	564		
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SEARCH & TRADEMARK OFFICE		5,600,368	2/4/1997	Matthews, III	348	143	Technology Center 2600	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		WO 97/22109	6/19/1997	WIPO			YES	NO
		WO 97/22112	6/19/1997	WIPO				
		WO 97/22079	6/19/1997	WIPO				
		WO 97/22049	6/19/1997	WIPO				
		WO 97/22100	6/19/1997	WIPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
			Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111					
			Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynamic Web documents", June 1997					
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO					
		WO 97/22099	6/19/1997	WIPO				
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		WO 97/22064	6/19/1997	WIPO				
		WO 97/22108	6/19/1997	WIPO				
		WO 97/22101	6/19/1997	WIPO				
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			Pasquier-Boltuck, et al., "Prototyping an Interactive Electronic Book System Using an Object-Oriented Approach", Augu 1988,					
			Bogdan Czeido, "Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems", January 1990, Pages 227-236					
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		WO 97/22080	6/19/1997	WIPO			YES	NO
		WO 97/22106	6/19/1997	WIPO				
		WO 97/22062	6/19/1997	WIPO				
		WO 97/22107	6/19/1997	WIPO				
		JP 7230466 Abstract	8/29/1995	JP				
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO					
		EP0838798A1	4/29/1998	Europe				
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		EP0892388 A1	1/20/1999	Europe				
		EP0810534	12/3/1997	Europe				
		EP0420123 A2	4/3/1991	Europe				
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